



Patent  
231/003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

THOMAS KIPPS et al.

Serial No.: 08/982,272

Filed: December 1, 1997

For: NOVEL EXPRESSION VECTORS  
CONTAINING ACCESSORY MOLECULE  
LIGAND GENES AND THEIR USE FOR  
IMMUNOMODULATION AND  
TREATMENT OF MALIGNANCIES AND  
AUTOIMMUNE DISEASE

Group Art Unit: 1642

Examiner: To be assigned

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OF THE PATENT  
AND TRADEMARK OFFICE

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith for filing in the above-referenced application are the following:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Copies of cited references; and
- Return postcard.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

September 4, 1998  
Date of Deposit

Jeanette M. Olivera

Name of Person Mailing Paper

*Jeanette M. Olivera*  
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If any fee is due in connection with this filing, please charge our Deposit Account No.  
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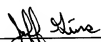
Respectfully submitted,

LYON & LYON LLP

Dated: \_\_\_\_\_

2/2/00

By: \_\_\_\_\_

  
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9/16/98  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

SEP 10 1998

Sir:

Attached are copies of publications, listed on the accompanying Form PTO-1449, which have come to applicant's attention. In compliance with the Applicants' duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

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The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicants as such.

The filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

This Supplemental Information Disclosure Statement is believed to be timely in that it is being submitted under 37 CFR 1.97(b) (3) before the mailing of a first Office Action on the merits, whereby no petition or fee is required. However, if counsel for Applicant is in error in this regard, the Commissioner is requested to consider this a petition and he is authorized to charge any required petition fee to counsel's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 9/3/98

By: Jeffrey W. Guise  
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Enclosures: